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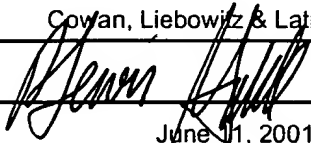
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/624,732
	Filing Date	July 25, 2000
	First Named Inventor	Gerald P. Sullivan
	Group Art Unit	2165
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	23334.01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	R. Lewis Gable Cowan, Liebowitz & Latman, P.C.
Signature	
Date	June 11, 2001

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant or Patentee: Gerald P. Sullivan

Serial No. or Patent No.: 09/624,732

Filed or Issued: July 25, 2000

Art Unit: 2165

Title: **APPARATUS AND METHOD FOR CREATING AND MANAGING A  
FINANCIAL INSTRUMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Received**  
**JUN 12 2001**  
**Technology Center 2100**

June 11, 2001

**Attention: Special Program Examiner Robert A. Weinhardt, Technology Center 2100**

**RESPONSE TO DECISION ON PETITION TO MAKE SPECIAL**

This is a Response to a Decision on Petition to Make Special dated May 23, 2001. The Response is timely made within the two months of the mailing of the Decision. Applicant will respond below to each of the grounds or items (B), (C) and (D) for rejecting Applicant's original Petition.

With regard to item (B), Applicant states that if the Office determines that all the claims presented are obviously directed to a single invention, Applicant will make an election without traverse as a prerequisite to the grant of special status.

With respect to item (D), Applicant provides herewith the requested complete copies of U.S. Patent Nos. 5,193,056 and 5,999,917.

With respect to item (C), Applicant asks the Examiner to reconsider his refusal to accept of "a text search" of databases, as opposed to a search by class and subclass. Applicant respectfully notes that Applicant's search was just not limited to particular class(es) or subclass(es) (in this case a handful subclasses in Class 705), but rather included not only all classes and subclasses of the classified patent records of the U.S. Patent and

Trademark Office, but also International Publications, Publications of the European Patent Office and publications from the technical literature, It is urged that Applicant's search covered a significantly greater field of the prior art than a search of a few subclasses within Class 705, for example.

The undersigned has discussed the issue of database or text searching with Group Director, who has indicated that such searching will be accepted.

If the Examiner is unable to now grant Applicant's Petition to Make Special, he is requested to place a call to the undersigned, whereby any remaining issues may be resolved.

  
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Signature of Practitioner

R. Lewis Gable  
Reg. No.: 22,479

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